Frequently Asked Questions

AFROSAI-E SAI Enhancement Audit Tool (A-SEAT)

The A-SEAT is an audit management tool developed by AFROSAI-E to primarily assist SAIs who do not currently have any audit management tools. It is an improvement of the AFROSAI-E Audit Flow previously implemented in the region.

Q Why the name A-SEAT?

A It was developed for the SAIs in our region who want to be in the driving seat with ISSAI compliant audits and audit processes. Like a first-class seat, it is adjustable to the unique needs and operations of a SAI, thereby assisting to ENHANCE SAI processes in the core business of public sector audit.

Q What does a SAI need to have to be able to implement the A-SEAT?

A A SAI needs to have a server with at least an Intel i7 multicore processor; memory of 16GB and Hard Disk: Two 1TB HD, in raid 1 configuration. It can run either be:

- Windows IIS and PHP and PostgreSQL database; or
- Linux with Apache with PHP and PostgreSQL database
- Linux is preferred for simpler and easier support, for patches and upgrades

Q What are the key functionalities of the A-SEAT?

A The A-SEAT has functionalities for:

- Maintaining audit master data
- Conducting risk assessments & scheduling annual audits
- Conducting performance audit sector assessment & scheduling annual performance audits
- Audit calendar management
- Audit management & reporting
- Quality control
- Audit guidance and information sharing within the SAI
- Human resource management

Q What are some of the implementation success factors the SAI should be aware of?

A

- Management commitment for automation of the audit processes
- Buy-in & commitment of auditors
- Requisite technology to support system (e.g. networks, laptops, server)
- Complementing SAI processes which will now be automated
- Skills development
- Change management

Q What are the responsibilities of the SAI during implementation of the A-SEAT?

A Some of the responsibilities of the SAI will be to:

- Take ownership of system and infrastructure
- Be part of system set up and configurations
- Be administrators of systems when solution is deployed on SAI servers
- Set up servers for installation.
- Overall security for networks and infrastructure A-SEAT will run on.
- Infrastructure and all hardware support to auditors

Q What does AFROSAI-E support the SAI with during implementation of the A-SEAT?

A The support provided will depend on the availability of resources and mutual agreement between AFROSAI-E and the SAI. In general, we can assist with:

- Providing the A-SEAT to SAIs for customisation
- Providing implementation team to configure system
- Provide first line support for users and admin staff during implementation
- Provide training to admin staff and pilot users (including user manuals)

Q What will the SAI need to put in place at time of implementation and what activities would happen?

A Apart from the infrastructure, the SAI should have an implementation team drawn from ICT and audit who will take part in the implementation which typically takes 1 to 2 weeks. During the implementation, the team will work on configurations, testing and training of administrators and champions. The SAI audit team will have to be knowledgeable on the SAI audit manuals, working papers, types of audit, auditee lists, auditor lists, auditor authorities, reporting template headings, logos. A-E will send detailed list and templates of information that will need to be prepared by the SAI.

Q Does AFROSAI-E take care of SAI change management in implementation?

A No, AFROSAI-E is not responsible for the change management. The SAI is responsible for their change management and is advised to work with training/HR department to implement a change management strategy. AFROSAI-E, through Institutional Development can assist the SAI in developing or implementing such a strategy.

Q Will AFROSAI-E provide support and updates for SAIs who will be using the A-SEAT?

A Yes, AFROSAI-E will set up a system of support and updates for system. The SAI administrators and champions will be capacitated enough to be able to provide first line support for system issues.

3rd Floor, Pegasus 2 Building, C/O January Masilela Av and Amarand Av
Waterkloof Glen Ext 2, Pretoria, South Africa, 0181

info@afrosai-e.org.za