



The First “SAI – ACA” Anti-Corruption Seminar to Explore Collaboration Between SAIs and ACAs By Creating Awareness and Joint Action on How SAIs & ACAs Can Contribute to State Anti-Corruption Efforts.

Johannesburg, 17 –19 April 2023

Resolution of the Seminar

The first “SAI- ACA” Anti-corruption seminar to explore collaboration between the SAIs and ACAs by creating awareness and joint action on how SAIs and ACAs can contribute to state anticorruption efforts was held under the theme “*The Role of SAIs and Institutionalised Good Governance in State Anti-corruption Effort*”.

Having explored the possibilities of cooperation between SAIs and ACAs of the respective countries in attendance.

Noting the increased expectation and need for SAIs and ACAs to collaborate their efforts in an efficient and effective manner with due respect to their mandates.

Noting with appreciation the efforts of AFROSAI-E; FSVC; GGS; GIZ; The Global Fund; and ACFE to creating a platform for the SAIs and ACAs to meet and explore ways of collaboration.

Institutionalised good governance:

1. *Reaffirms* that to contribute effectively to state anti-corruption efforts, SAIs & ACAs should exist as institutions that are above board, which lead by example when it comes to issues of corruption.
2. *Calls upon* SAIs and ACAs to put the spotlight on organizational values and ethos as a basis to both individual and organisational performance evaluation. If SAIs and ACAs embed their values and ethos into their performance evaluation systems, this will enable them to exist as institutions that are above board, which lead by example when it comes to issues of corruption.

Fraud & Corruption concepts for SAIs SAI responses to fraud & IFFs:

3. *Notes* that from a national strategic perspective, there are several corruption & other specific IFFs considerations that SAIs and ACAs can incorporate into their short-, medium- and long-term planning to ensure a structured and institutionalised approach in contributing to state anti-corruption efforts.
4. *Commits* SAIs and ACAs to contribute to the reduction of corruption and illicit financial flows by 2030 through the development and implementation of their strategic and operational plans in line with the SDG 16 and other international Conventions on anti-corruption.
5. *Through* the development of the five-year Strategic Plans (long-term) which are aligned to the national, continental/ regional, and global instruments. These include UN SDG (1.4 NDPs, UN, SADC, Into Saint). Cascade these Strategic plan into the annual plan, with targets Operational level- short term and medium term and deploy instruments to monitor the implementation.

Financial Action Task Force (FATF) Country grey listing standards

6. *Underlines* that SAIs and ACAs should play a more proactive role with reference to their country's current grey listing status according to FATF standards.
7. *Decides* that SAIs and ACAs should collaborate in building capacity to enhance the performance of compliance audits with reference to FATF standards.

Policy development on anti-corruption in the region

8. *Recognises* that there are several anti-corruption treaties and conventions ratified by their respective countries. Chief amongst them the African Union Convention on Preventing and Combating Corruption (AUCPCC).
9. *Reaffirms* that with reference to their mandates, SAIs and ACAs should contribute to policy development & implementation in relation to anti-corruption treaties and conventions ratified by their respective countries.
10. *Decides* that SAI has to enhance Performance Audits in competent and supervisory authority/institutions. To identify performance gaps in their mandate and put- in place corrective action plans in place.
11. *Further decides* that SAIs & ACAs to undertake implementation assessment of the anti-corruption related treaties/Convention and submit reports to respective Parliaments, relevant National institutions as well as international bodies.

Continued SAI – ACA collaboration

12. *Recognises* the resolutions of the December 2021, the 9th session of the Conference of the States Parties (COSP) to the United Nations Convention against Corruption (UNCAC) with reference to the role of SAIs and ACAs in state anti-corruption efforts.
13. *Commits* to implement the practical guideline from the United Nations Convention against Corruption (UNCAC) from the SAIs and ACA perspective based on local needs and in response to specific country environment.
14. *Recommends* for AFROSAI-E and its partners to guarantee the growth of this SAI to ACA platform for further sustainable and continued collaboration.

Ends...