



A-SEAT_{TRACKER}

February 2026



Objective

Purpose of Session

- ❑ Create **awareness** on the functionality and use of the recommendation tracking tool to be used in conjunction with A-SEAT

Audit Recommendation Tracking

Examples of what SAls are tracking

Appendix I: Type of Audits

Type of audit
Financial audit
Performance audit
Forensic audit
IT audit
Special audit
Engineering audit
PSA audit
Impact studies
Compliance audit

Appendix II: Stage of the audit

Stage of the audit
Planning
Audit execution
Audit reporting
Post issuance

Appendix IV:

Implementation status
Implemented
Not implemented
Partially implemented

Appendix III: Category of findings

Category
Financial misstatement correction
Internal control improvement
Compliance with the law
Adoption of best practice
Policy changes
Recoveries and asset management issues
Action by third party

Appendix V:

Impact
Better revenue collection
Cost reduction
Better service delivery

Audit Recommendation Tracking

Examples of what SAs are tracking

Appendix VI: Value addition template

S / N	Finding (title)	Category of finding	Amount (if any)	Recommendation	Category of Recommendation	FY of the recommendation	Stage of the audit	Status of implementation	FY of implementation	Amount involved, if any	% of implementation	Evidence of implementation	Outcome/Impact of Implementation

Audit Recommendation Tracking

Examples of what SAIs are tracking

- ☐ Audit Recommendations Tracker (ART)
 - ☐ Audit reports - audit findings, audit recommendations, management response, and AG's position.
 - ☐ Status and implementation of audit recommendations such as corrective actions (steps in resolving audit findings consistent with the audit recommendations),
 - ☐ Implementation timelines, responsible persons/units, expected & desired outcomes, and follow-up comments.
- ☐ Corrective Audit Action Plan (CAAP)
 - ☐ strategy by the audited entity - corrective measures, implementation timelines, responsible persons, expected outcomes and challenges in implementing audit recommendations
- ☐ Audit Recommendations Implementation Working Paper (ARIWP).

SAI focus

Auditee focus

SAI/Auditee focus

A-SEAT: AUDIT RECOMEMNDATION TRACKING



A-SEAT: AUDIT RECOMEMNDATION TRACKING

Core Features & Workflow - From Audit Findings to Measurable Results

- ❑ **Complete Workflow:** Dashboard, Recommendations, Management Letters, Action Plans, Tracking, and Reports.
- ❑ **Communication:** Messages, Support Tickets, Notifications ensure smooth collaboration.
- ❑ **Flexibility:** Settings, Configurations, Manuals, and Templates allow SAs to tailor the tool to their needs.
- ❑ **Tracking & Reporting:** Beyond status updates—download reports, supervise, approvals, QA Reviews, and monitor implementation in real time.
- ❑ **Live Monitor:** Detailed insights by audit type and implementation status, with widgets highlighting client performance, overdue items, and latest changes.

- ❑ **Recommendations → Action Plan → Tracking → Reports → Oversight.**

A-SEAT: AUDIT RECOMMENDATION TRACKING

Transparency & Adaptability- Public Interface & Configurations

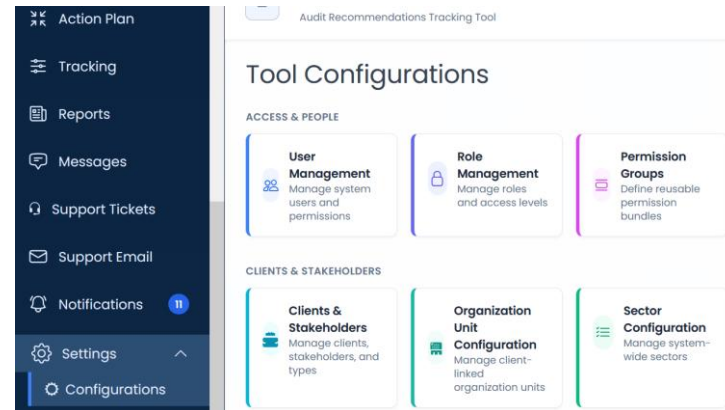
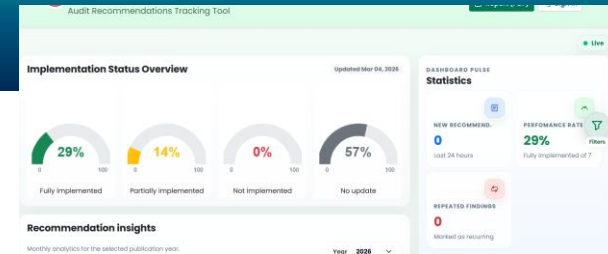
❑ Public Interface:

- Live implementation status (Fully, Partially, Not Implemented, No Update).
- Monthly analytics, audit-type coverage, and client snapshots.
- Searchable public register with risks, acceptance status, Repeat Findings, completion dates, and client responses.

❑ Configuration Features:

- User & Role Management, Permission Groups.
- Organisation units, sectors, and audit type configuration.
- Security & Privacy controls (2FA, IP allowlists, oversight visibility).
- Notification settings, table configurations, and API integrations.

- ❑ **Benefit:** Adaptable to each SAI's requirements—scalable, secure, and evolving with institutional needs.



Upload Recommendation

The screenshot displays the AFROSAI-E A-SEAT Tracker web application. The interface includes a dark sidebar with navigation links: Dashboard, Recommendations, Action Plan, Tracking, Reports, Messages, Emails, Support Tickets, Notifications (with a red badge), Settings, and Templates. The main content area is titled 'Final Reports Tracking' and features a 'Recommendation Implementation Overview' section. This section includes filters for '2026', 'All Months', and 'All Clients'. It shows 'Live updates' from February 24, 2026, and a table for 'RECORDS BY AUDIT TYPE' with a note 'No audit type data yet.' Below this is an 'IMPLEMENTATION SUMMARY' section with a 'Total 0' indicator. A modal window is open in the center, prompting the user to 'Choose a CSV file' with a 'Choose File' button and a 'No file chosen' message. The modal also contains 'Upload CSV' and 'Cancel' buttons. The background shows a 'Monthly Recommendation Implementation' chart and a 'WIDGETS' section on the right with 'Implementation Status' and 'Top Performing Clients' cards.

Reports Supervision

ACTION PLAN SUPERVISION

Pending reports

Review recommendations and approve or decline action plans.

Reports awaiting supervision

Rows: 5

Loading pending reports...

Showing 1 to 1 of 1

1 report(s)

Search: Search reports

Prev

Next

Financial Management Audit

Client: Ministry of Defence

Rows: 10

0 selected

Search: Search recommendation

You cannot supervise an action plan that you created.

☐	RECOMMENDATION	KEY ISSUES	ACTION PLAN	CLASSIFICATION	IMPLEMENTATION STATUS	TARGET COMPLETION DATE	RESPONSIBLE PERSON
☐	Implement robust financial controls	Weak internal controls	Update finance procedures and approvals	Critical	No Update	24 Feb 2026	Nonhlanhla
☐	Improve budget oversight	Weak internal controls	Introduce monthly budget reviews	High	Fully Implemented	25 Feb 2026	Nonhlanhla

Showing 1 to 2 of 2

Prev

Next

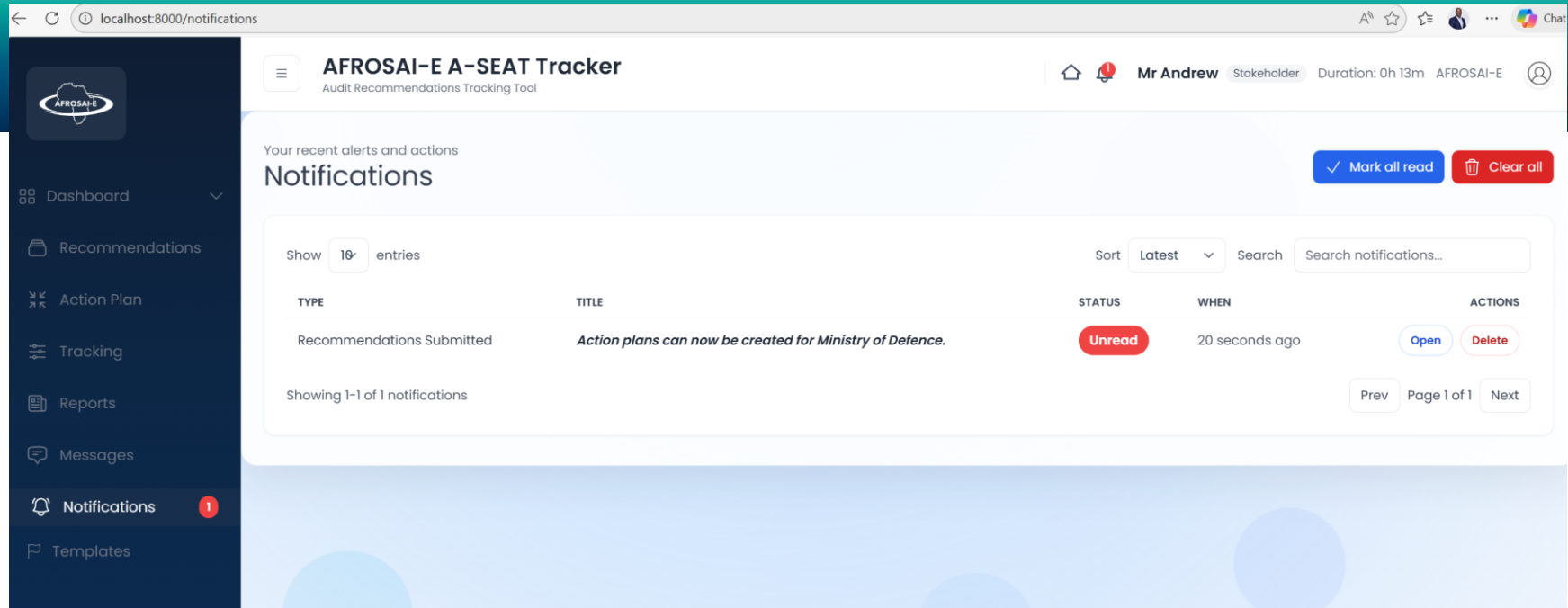
Approve Selected

Approve All

Decline reason (required for decline)

Decline
Selected

Recommendation Added (awaiting action plan)



The screenshot shows a web application interface for the AFROSAI-E A-SEAT Tracker. The browser address bar shows 'localhost:8000/notifications'. The application has a dark blue sidebar with a menu containing: Dashboard, Recommendations, Action Plan, Tracking, Reports, Messages, Notifications (highlighted with a red badge showing '1'), and Templates. The main header area includes the application title 'AFROSAI-E A-SEAT Tracker' with the subtitle 'Audit Recommendations Tracking Tool', and user information for 'Mr Andrew' (Stakeholder) with a duration of '0h 13m'. The main content area is titled 'Your recent alerts and actions Notifications'. It features a 'Show 10 entries' filter, a 'Sort Latest' dropdown, and a search bar. Below this is a table with one notification entry. The table has columns for TYPE, TITLE, STATUS, WHEN, and ACTIONS. The notification is of type 'Recommendations Submitted' with the title 'Action plans can now be created for Ministry of Defence.', status 'Unread', and time '20 seconds ago'. The actions column contains 'Open' and 'Delete' buttons. At the bottom of the notification list, it says 'Showing 1-1 of 1 notifications' and includes 'Prev', 'Page 1 of 1', and 'Next' pagination controls. There are also 'Mark all read' and 'Clear all' buttons at the top right of the notifications section.

localhost:8000/notifications

AFROSAI-E A-SEAT Tracker
Audit Recommendations Tracking Tool

Mr Andrew Stakeholder Duration: 0h 13m AFROSAI-E

Your recent alerts and actions

Notifications

✓ Mark all read Clear all

Show 10 entries Sort Latest Search Search notifications...

TYPE	TITLE	STATUS	WHEN	ACTIONS
Recommendations Submitted	Action plans can now be created for Ministry of Defence.	Unread	20 seconds ago	Open Delete

Showing 1-1 of 1 notifications

Prev Page 1 of 1 Next



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